



## Locally Compact Spaces with Defects

Mohammad Zailai

*Department of Mathematics, Faculty of Science, King Abdulaziz University, P.O.Box 80200  
Jeddah 21589, Saudi Arabia*

**Abstract.** We call a topological space  $X$  a locally compact space with defects if all points in  $X$  possess compact neighborhoods except for some points. We investigate this weaker version of local compactness. We show that for  $x \in X^\bullet$  if the partition of singletons of  $X \setminus (X^\bullet \cup (\overline{U} \setminus U))$  is locally finite, where  $U \neq X$  is an open neighborhood of  $x$ , then  $X$  is a Tychonoff space. Let  $X$  be a  $T_{1c}$  locally compact space with defects such that each  $x \in X^\bullet$  has an open neighborhood  $U$  such that  $\overline{U}$  is a union of pairwise disjoint compact subsets  $\bigcup_{s \in S} F_s$ . Then, we show that if the family  $\{F_s\}_{s \in S}$  is locally finite except for a finite number of points, then  $X$  is a Tychonoff space.

**2020 Mathematics Subject Classifications:** 54D45

**Key Words and Phrases:** Compact, locally compact, defects, Tychonoff

### 1. Introduction

A  $T_1$  space  $X$  is said to be locally compact if every point  $x \in X$  possesses a compact neighborhood, i.e., an open neighborhood such that its closure is a compact subspace. In this paper we introduce a weaker version of local compactness, which we call local compactness with defects. A  $T_1$  space  $X$  is locally compact with defects if each point of the space has a compact neighborhood except for some points. We denote by  $X^\bullet$  the set of points of  $X$  which do not have compact neighborhoods. Points of  $X^\bullet$  are called defects. A space  $X$  is said to be scattered if it contains no non-empty subset which is dense-in-itself. It is proved in [4] that for a Tychonoff space  $X$  the set  $X^\bullet$  is closed. We extend this result and show that for any space, the set of defects is a closed subset. We use that result to show that for any  $T_1$  topological space, if the set of defects is not empty then the space is not scattered. All compact subspaces in this paper are assumed to be closed and  $T_2$ . We denote by  $T_{1c}$  a  $T_1$  space such that each compact subspace is closed. This is a space which lies between  $T_1$  and  $T_2$  spaces.  $\aleph_0$  stands for a cardinality of a countable set.  $\mathbf{N}$  stands for the set of all natural numbers. By a  $T_{3\frac{1}{2}}$  space we mean a Tychonoff space.  $\mathcal{K}$  stands for the Sorgenfrey line, i.e., the space generated by the base  $\mathcal{B} = \{[x, y)\}$ , where  $x, y$  are real numbers such that  $x < y$ , and  $y$  is a rational number. For more details about locally compact spaces, see [1]. More details about points that do not have compact neighborhoods, which we call defects, can be found in [3], [4] and [5].

DOI: <https://doi.org/10.29020/nybg.ejpam.v16i2.4767>

Email address: [mzailai@kau.edu.sa](mailto:mzailai@kau.edu.sa) (M. Zailai)

## 2. Local Compactness with Defects

**Definition 2.1.** Let  $X$  be a topological space and let  $\mathcal{F}$  be any property. If  $Y \subset X$  is the set of points which do not satisfy the property  $\mathcal{F}$ , i.e., only  $X \setminus Y$  has the property  $\mathcal{F}$  then we call the topological space  $X$  a space with defects of type  $\mathcal{F}$ .

**Remark 1.** *This paper concerns about defect of type local compactness. Throughout this paper we write l.c.w.d. for a space with defects of type local compactness.*

**Definition 2.2.** A  $T_1$  space  $X$  is a space with defects of type local compactness, l.c.w.d., if all points have compact neighborhoods except for some points. We denote by  $X^\bullet$  the set of points which do not possess compact neighborhoods.

**Remark 2.** *It is clear that if  $X^\bullet = \phi$  then  $X$  is a locally compact space. We always assume that  $X^\bullet \neq \phi$  unless stated otherwise.*

**Example 1.** [6, 118 page 137]

Denote  $T$  the graph of the function  $h(t) = \sin(1/t)$  where  $0 < t \leq 1$ , as a subset of the Euclidean space  $\mathbb{R}^2$  with the relative topology. The set  $T^* = \{(0,0)\} \cup T$  is not locally compact since the point  $(0,0)$  has no compact neighborhood. Therefore, the topological space  $T^*$  is a locally compact space with defect of type local compactness.

**Proposition 2.3.** *Suppose that the topological spaces  $X$  and  $Y$  are l.c.w.d. If  $X$  is homeomorphic to  $Y$  then  $X^\bullet$  and  $Y^\bullet$  have same cardinality, i.e., the number of defect points is a topological invariant.*

*Proof.* It is enough to check that the homeomorphic image of any point in  $X^\bullet$  lies in  $Y^\bullet$ . Suppose that  $f : X \rightarrow Y$  is a homeomorphism. Take any point  $x \in X^\bullet$ . If  $f(x) \notin Y^\bullet$  then there is a neighborhood  $U$  of  $y = f(x)$  such that the closure  $\bar{U}$  is a compact subspace. Now  $x \in f^{-1}(U)$  and also have  $f^{-1}(U) = f^{-1}(\bar{U})$  which is compact. Therefore,  $f^{-1}(U)$  is a compact neighborhood of the point  $x$  which is a contradiction as  $x$  is a defect point.

**Lemma 2.4.** *Let  $X$  be l.c.w.d then  $X^\bullet$  is a closed subset of  $X$ .*

*Proof.* It is sufficient to that the complement  $X \setminus X^\bullet$  is open. If  $X^\bullet = \phi$ , then  $X \setminus X^\bullet = X$  is closed. If  $X^\bullet = X$ , then  $X \setminus X^\bullet = \phi$  is closed. Now suppose  $X^\bullet \neq X$  and  $X^\bullet \neq \phi$ , take any arbitrary point  $x \in X \setminus X^\bullet$ . Assume that for any neighborhood of  $U_x$  of  $x$  we have that  $U_x \cap X^\bullet = \phi$ . Since  $x \in X \setminus X^\bullet$ , then there is a compact neighborhood  $U_x$  of  $x$ . Let  $y \in X^\bullet$ , then  $y$  does not belong to  $U_x$ . Suppose otherwise, i.e., let  $y \in U_x$ . then  $y \in \bar{U}_x$ . However, that means  $U_x$  is a compact neighborhood of  $y$ . This is a contradiction as  $y$  is a defect.

**Proposition 2.5.** *If  $X$  is locally compact with defects, then  $X^\bullet$  is dense-in-itself.*

*Proof.* If  $X^\bullet = \phi$  then it is clearly dense-in-itself. Assume that  $X^\bullet \neq \phi$ . Take any point  $x \in X \setminus X^\bullet$ , then  $x$  is not an accumulation point of  $X^\bullet$ . Now, let  $x \in X^\bullet$  then the closure  $\overline{X^\bullet \setminus \{x\}}$  is the set  $X^\bullet$ . Therefore, the set  $X^\bullet$  contains all of its accumulation points. Hence,  $X^\bullet$  is dense-in-itself.

**Corollary 2.6.** *Let  $X$  be l.c.w.d such that  $X^\bullet \neq \phi$  then  $X$  is not a scattered space.*

**Lemma 2.7.** *[2, page 17] Suppose that the family  $\{W_s\}_{s \in S}$  is locally finite, then the following*

$$\overline{\bigcup_{s \in S} W_s} = \bigcup_{s \in S} \overline{W_s}$$

*is always true.*

**Lemma 2.8.** *Let  $X$  be a  $T_1$  space and suppose space that the family  $\{W_s\}_{s \in S}$  is locally finite except at a finite number of points, say  $a_1, a_2, \dots, a_n$ , then we have*

$$\bigcup_{s \in S} \overline{W_s} \bigcup_{i=1}^n \{a_i\} = \overline{\bigcup_{s \in S} W_s \bigcup_{i=1}^n \{a_i\}}.$$

*Proof.* It is clearly that

$$\bigcup_{s \in S} \overline{W_s} \bigcup_{i=1}^n \{a_i\} \subset \overline{\bigcup_{s \in S} W_s \bigcup_{i=1}^n \{a_i\}}.$$

Now suppose that  $x \in \overline{\bigcup_{s \in S} W_s \cup \{a_1\} \dots \cup \{a_n\}}$ . Let  $x$  has an open neighborhood  $U$  which intersects finitely many members of the family  $\{F_s, \{a_1\}, \{a_2\}, \dots, \{a_n\}\}_{s \in S}$ . Let  $S_1 = \{s \in S : U \cap W_s\}$  be the finite indexing set. It is clear that  $x \notin \bigcup_{s \in S \setminus S_1} W_s$ . Note that

$$x \in \overline{\bigcup_{s \in S_1} W_s \bigcup_{i=1}^n \{a_i\}} \bigcup_{s \notin S \setminus S_1} W_s.$$

Then, we get  $x \in \overline{\bigcup_{s \in S_1} W_s \bigcup_{i=1}^n \{a_i\}} = \bigcup_{s \in S_1} \overline{W_s} \bigcup_{i=1}^n \overline{\{a_i\}}$ . Therefore,

$$x \in \bigcup_{s \in S} \overline{W_s} \cup \{a_1\} \cup \{a_2\} \cup \dots \cup \{a_n\}.$$

Assume that  $X$  does not have a locally finite neighborhood, then  $x = a_i$  for some  $i$ . Therefore, it is easy to see that

$$x = a_i \in \bigcup_{s \in S} \overline{W_s} \cup \{a_1\} \cup \{a_2\} \cup \dots \cup \{a_n\}.$$

Hence,

$$\bigcup_{s \in S} \overline{W_s} \bigcup_{i=1}^n \{a_i\} = \overline{\bigcup_{s \in S} W_s \bigcup_{i=1}^n \{a_i\}}.$$

**Remark 3.** *L.c.w.d. spaces do not have to be normal. Consider product of Sorgenfrey line,  $X = \mathcal{K} \times \mathcal{K}$ , which is locally compact i.e.  $X^\bullet = \phi$  but it is not normal.*

**Definition 2.9.** [2, page 71] Let  $\{B_i\}_{i \in I}$  be a cover of the space  $X$ . Consider any family of continuous maps  $\{g_i\}_{i \in I}$ , where  $g_i : B_i \rightarrow Y$ . The maps  $g_i$  are said to be compatible if for every  $i_1, i_2$  of  $I$  we have

$$g_{i_1}|_{B_{i_1} \cap B_{i_2}} = g_{i_2}|_{B_{i_1} \cap B_{i_2}}.$$

The combination is defined as  $g = \bigcup_{i \in I} g_i : X \rightarrow Y$ .

**Remark 4.** The following two Lemmas and Theorem are used in the proofs of Theorem 2.13 and Theorem 2.15.

**Lemma 2.10.** [2, page 17] If  $\{S_i\}_{i \in I}$  is a locally finite closed cover of  $X$  and  $\{g_i\}_{i \in I}$  is a family of compatible maps, where  $g_i : S_i \rightarrow Y$ . Then the combination is continuous.

**Lemma 2.11.** Let  $\mathcal{W} = \{B_s\}_{s \in S} \cup \{\{a_1\}, \{a_2\}, \dots, \{a_n\}\}$  be a closed cover of  $X$  such that the family  $\mathcal{W}$  is locally finite except for  $a_1, a_2, \dots, a_n$ . Let  $\{g_s\}_{s \in S}$  be a family of compatible maps, where  $g_s : B_s \rightarrow Y$  such that all members of the family are constant of the form  $g_s(B_s) = k$  except for a finite number of members. Then, the combination

$$g = \bigcup_{s \in S} g_s \bigcup_{i=1}^n f_i : X \rightarrow Y$$

is continuous, where  $f_i : \{a_i\} \rightarrow Y$  is defined as  $f_i(a_i) = k$  for  $i = 1, 2, \dots, n$ .

*Proof.* Let  $F$  be a closed subset of  $Y$ . We Want to show that the the inverse image of  $F$  is closed in  $X$  under that map  $g$ . Assume that  $k \notin F$ , then  $g^{-1}(F) = \bigcup_{s \in S_1} g_s^{-1}(F)$  for a finite subset  $S_1 \subset S$ . Therefore,  $g^{-1}(F)$  is a finite union of closed subsets, i.e.,  $g^{-1}$  is closed. Now, let us assume that  $k \in F$ . We have

$$\begin{aligned} g^{-1}(F) &= \bigcup_{s \in S \setminus S_1} g_s^{-1}(F) \bigcup_{i=1}^n f_i^{-1}(F) \\ &= \bigcup_{s \in S \setminus S_1} g_s^{-1}(F) \bigcup_{i=1}^n \{a_i\} \end{aligned}$$

Therefore, by using Lemma 2.8 we have that  $g^{-1}(F)$  is a closed subset of  $X$ . Hence,  $g$  is continuous.

**Theorem 2.12.** [2, page 148]

Let  $X$  be a  $T_1$  space such that any  $x \in X$  possesses a compact neighborhood. Then for any closed subset  $F \subset X$  such that  $x \notin F$  there exists a continuous  $f : X \rightarrow I$  where  $f(x) = 0$  and  $f(F) \subset \{1\}$ .

**Theorem 2.13.** Let  $X^\bullet$  be a compact subset of  $X$ . Suppose that each point  $x \in X^\bullet$  has an open neighborhood  $U \neq X$  such that the partition of singletons of the complement of  $X^\bullet \cup (\bar{U} \setminus U)$  is locally finite, then  $X$  is  $T_{3\frac{1}{2}}$ .

*Proof.* Let  $x \in X^\bullet$  and take any closed subset  $F$  of  $X$  such that  $x \notin F$ . Let  $U \neq X$  be an open neighborhood of  $x$  which satisfies assumption above. Define

$$F_0 = ((\overline{U} \setminus U) \cup (\overline{U} \cap F)) \cap X^\bullet,$$

which is a closed subset of the closed subspace  $X^\bullet$  such that  $x \notin F_0$ . Therefore, there exists a map  $f : X^\bullet \rightarrow I$  such that  $f(x) = 0$  and  $f(F_0) \subset \{1\}$ . Let  $g : \overline{U} \setminus U \rightarrow I$  be a constant map which is defined as  $g(y) = 1$  for any  $y \in \overline{U} \setminus U$ . Let us define also the following maps

$$f_{s \in S} : \{a_s\} \rightarrow I; a_s \mapsto 1.$$

Now, the combination

$$h = f \cup g \bigcup_{s \in S} f_s : X \rightarrow I$$

is continuous such that  $h(x) = 0$  and  $h(F) \subset \{1\}$ .

**Proposition 2.14.** *Let  $X$  be a second countable space such that  $X^\bullet$  is a discrete subspace. If  $X^\bullet$  is compact such that each of its points satisfies the assumption in theorem 2.13, then  $X^\bullet$  is of cardinality  $\aleph_0$ .*

*Proof.* First from Theorem 2.13 we have that  $X$  is a  $T_{3\frac{1}{2}}$  space which tells us that  $X$  is a regular space. Since every second countable regular space is metrizable, then  $X$  is a metrizable space. Separability and second countability are equivalent in metrizable spaces. Hence,  $X$  is a separable space. However, we know that every closed discrete subspace of a separable normal space has cardinality  $\leq \aleph_0$ .

**Theorem 2.15.** *Let  $X$  be a  $T_{1c}$  l.c.w.d space such that for each point  $x \in X^\bullet$  there exists an open neighborhood  $U$  of  $x$  such that the closure  $\overline{U} = \bigcup_{s \in S} F_s$  is a union of compact subsets. If the family  $\{F_s\}_{s \in S}$  is pairwise disjoint and locally finite except for a finite number of points, then  $X$  is  $T_{3\frac{1}{2}}$ .*

*Proof.* Let  $x$  be a defect, i.e.,  $x \in X^\bullet$ . Let  $F$  be closed such that  $x \notin F$ . Take an open neighborhood  $U$  of  $x$  such that the closure  $\overline{U} = \bigcup_{s \in S} F_s$  is a union pairwise disjoint compact subsets, where  $\mathcal{W} = \{F_s\}_{s \in S}$  is locally finite except at  $a_1, a_2, \dots, a_n$ . Note that  $x$  belongs to only one member of the family  $\mathcal{W}$ , say  $F_{s_k}$  for some  $s_k \in S$ . Define

$$F_0 = ((\overline{U} \setminus U) \cup (\overline{U} \cap F)) \cap F_{s_k}$$

which is a closed subset of the subspace  $F_{s_k}$  and we have that  $x \notin F_0$ . Therefore, there is a map  $f_{s_k} : F_{s_k} \rightarrow I$  such that  $f_{s_k}(x) = 0$  and  $f_{s_k}(F_0) \subset \{1\}$ . Define also following constant maps

$$f_s : F_s \rightarrow I, y \mapsto 1; \quad \text{for } s \neq s_k$$

$$g : X \setminus U \rightarrow I, y \mapsto 1.$$

Now, suppose that one of the  $a_i$ 's is  $x$ , say  $a_m = x$ . Then, we define the following maps

$$g_i : \{a_i\} \rightarrow I, \quad a_i \mapsto 1 \quad \text{for } i = 1, \dots, n \quad \text{and } i \neq m$$

$$g_m : \{a_m\} \rightarrow I, \quad a_m \mapsto 0.$$

If all  $a_i$ 's are distinct from  $x$ , then we define:

$$g_i : \{a_i\} \rightarrow I, \quad a_i \mapsto 1 \quad \text{for } i = 1, \dots, n$$

First, we need to check that the map

$$h = \bigcup_{s \in S} f_s \bigcup_{i=1}^n g_i \cup g : X \rightarrow I$$

is continuous. Let  $C \subset I$  be closed. If  $1 \notin C$ , then  $h^{-1}(C) = f_{s_k}^{-1}(C)$  is closed or  $h^{-1}(C) = f_{s_k}^{-1}(C) \cup g_m^{-1}(C)$  which is also closed. Assume that  $1 \in C$ , then  $h^{-1}(C) = \bigcup_{s \in S} f_s^{-1}(C) \bigcup_{i=1}^n g_i^{-1}(C) \cup g^{-1}(C)$  which is clearly closed by using Lemma 2.8. Hence,  $h$  is continuous. It clear that  $h(x) = 0$ . Now, take  $y \in F$ . If  $y \in F_0$ , then we have  $h(y) = 1$ . Assume that  $y \notin F_0$ , then we have two cases:

- Case 1:  $y \notin F_{s_k}$ , then it is easy to see that  $h(y) = 1$ ,
- Case 2:  $y \in F_{s_k}$ , and  $y \notin \bar{U}$  which cannot happen as  $F_{s_k} \subset \bar{U}$ . Then, we conclude that if  $y \in F$  and  $y \notin F_{s_k}$ . Therefore,  $h(y) = 1$ .

Hence,  $X$  is a  $T_{3\frac{1}{2}}$  space.

**Proposition 2.16.** *Let  $\{X_s\}_{s \in S}$  be a collection of pairwise disjoint l.c.w.d topological spaces. If each point  $x_s \in X_s$  has an open neighborhood  $U$  such that its closure is a union of pairwise disjoint compact subsets, then so does each point  $x \in X = \bigoplus_{s \in S} X_s$ .*

**Example 2.** (Modified Arens-Fort Space):

Here we modify the Arens-fort space. Let  $(A, \tau)$  be the set of all ordered pairs of  $\mathbf{N} \times \mathbf{N}$ . We declare that all the singletons of this set are open sets except the points  $(0, 0), (1, 0), \dots, (n, 0)$  for some positive integer  $n$ . Let us define open neighborhoods of each point of  $\{(0, 0), (1, 0), \dots, (n, 0)\}$  as any set  $U$  such that  $\{(0, 0), (1, 0), \dots, (n, 0)\} \subset U$ , and all but a finite number of points of each but a finite number of the sets  $T_d = \{(l, d) : l \text{ is fixed and } d \in \mathbf{N}\}$ . Note that this space is not locally compact as the points  $(0, 0), (1, 0), \dots, (n, 0)$  do not possess compact neighborhoods. Let us check that the point  $(0, 0)$  does not have a compact neighborhood and all other points can be verified analogously. Let  $U$  be an open neighborhood of  $(0, 0)$ . Consider the following  $\mathcal{U} = \{\{a_s\}_{s \in S}, V\}$  such that each  $a_s$  is distinct from all the points  $(0, 0), (1, 0), \dots, (n, 0)$ , and  $V$  is an open neighborhood of  $(0, 0)$  which is distinct from  $U$  in the following sense. If  $D = \{(l, d) : l \text{ is fixed and } l \neq 0\} \subset U$ , then we require that  $D \not\subset V$ . Now,  $\mathcal{U}$  is an open cover of the closure  $\bar{U}$  which does not have a finite open subcover. For any point  $x \in X^\bullet$  one can take  $X$  as a neighborhood. Now,  $X$  can be written

as a union of singletons. Clearly, each one-point set in  $X$  is compact. Also, note that the partition of singletons is locally finite except for a finite number of points. Therefore, by using Theorem 2.15 we see that  $X$  is a Tychonoff space. We can also apply Theorem 2.13 to see that this space is a Tychonoff space. Namely,  $X^\bullet$  is finite, then is compact. Observe that partition of singletons of  $X \setminus (X^\bullet \cup (X \setminus X))$  is locally finite.

**Proposition 2.17.** *Let  $\{X_1, X_2, \dots, X_n\}$  be a collection of l.c.w.d. topological spaces. Suppose that for each topological space  $X_i$  we have  $X^\bullet \neq \phi \neq X_i$ . Then for  $X = \prod_{i=1}^n X_i$ , we have  $X^\bullet \neq \phi \neq X$ .*

*Proof.* It is straightforward.

**Proposition 2.18.** *Let each of  $\{X_s\}_{s \in S}$  be a collection of l.c.w.d spaces such each point of  $X_s$  has an open neighborhood with closure being a union of pairwise disjoint compact subsets, then so does each point of the cartesian product  $\prod_{s \in S} X_s$ .*

*Proof.* It is straightforward.

**Proposition 2.19.** *Let  $X$  be l.c.w.d such that any  $x \in X^\bullet$  has a  $\sigma$ -compact neighborhood. Then for any closed subspace  $F \subset X$ ,  $x \in X^\bullet \cap F$  has a  $\sigma$ -compact neighborhood of the subspace  $F$ , i.e., this space is hereditarily with respect to closed subspaces.*

*Proof.* Take any  $x \in X^\bullet \cap F$ , then there is an open neighborhood  $U \subset X$  of  $x$  such that  $\bar{U} = \bigcup_{s=1}^{\infty} F_s$  where each  $F_s$  is compact as a subset of  $X$ . Observe that  $U \cap F$  is an open of  $x$  in  $F$  such that its closure,  $\overline{(U \cap F)} \cap F$ , in  $F$   $\sigma$ -compact.

### 3. Conclusion

A well-known result in general topology states that any locally compact space is a Tychonoff space. In this paper we investigate a weaker version of local compactness. Instead of assuming that all points in a space have compact neighborhoods, we allow a possibility of having some points which do not possess compact neighborhoods. We denote by  $X^\bullet$  a set of points which do not have open neighborhoods with compact closures. One of the results we obtain is that by requiring the set  $X^\bullet$  to be compact, we show that if each point  $x \in X^\bullet$  has an open neighborhood  $U \neq X$  such that the partition of singletons of the complement of  $X^\bullet \cup (\bar{U} \setminus U)$  is locally finite, then the space is a Tychonoff space. The following questions are still not answered. Could we assume that  $X^\bullet$  is locally compact instead of being compact in Theorem 2.13? Could we drop the requirement of compact subsets need to be closed in Theorem 2.15?

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